



**EDB**

**EDB Postgres High Availability and  
Horizontal Read Scaling Architecture**

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## Architecture Overview

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Since high-availability and read scalability are not part of the core feature set of EDB Postgres Advanced Server, Advanced Server relies on external tools to provide this functionality. This document will focus on functionality provided by EDB Failover Manager and Pgpool-II and discuss the implications of a high-availability architecture formed around these tools. We will demonstrate how to best configure Failover Manager and Pgpool to leverage the benefits they provide for Advanced Server. Using the reference architecture described in the *Architecture* section, you can learn how to achieve high availability by implementing an automatic failover mechanism (with Failover Manager) while scaling the system for larger workloads and a high number of concurrent clients with read-intensive or mixed workloads to achieve horizontal scaling/read-scalability (with Pgpool).

The architecture described in this document has been developed and tested for EFM 3.10, EDB pgPool 4.0, and Advanced Server 12.

Documentation for Advanced Server and Failover Manager are available from EnterpriseDB at:

<https://www.enterprisedb.com/resources/product-documentation>

Documentation for pgPool-II can be found at:

<http://www.pgpool.net/docs/latest/en/html>

## 1.1 Failover Manager Overview

Failover Manager is a high-availability module that monitors the health of a Postgres streaming replication cluster and verifies failures quickly. When a database failure occurs, Failover Manager can automatically promote a streaming replication standby node into a writable master node to ensure continued performance and protect against data loss with minimal service interruption.

### Basic EFM Architecture Terminology

A **Failover Manager cluster** is comprised of **EFM processes that reside on the** following hosts on a network:

- A **Master node** is the primary database server that is servicing database clients.
- One or more **Standby nodes** are streaming replication servers associated with the master node.
- The **Witness node** confirms assertions of either the Master or a Standby in a failover scenario. A cluster does not need a dedicated witness node if the cluster contains three or more nodes. If you do not have a third cluster member that is a database host, you can a dedicated Witness node; a cluster may include more than one witness node.

## 1.2 PgPool-II Overview

Pgpool-II (Pgpool) is an open source application that provides connection pooling and load balancing for horizontal scalability of `SELECT` queries on multiple standbys in EPAS and community Postgres clusters. Pgpool can be configured to use a `backend_weight` parameter to prevent read traffic to be directed to the master node. In such cases, data modification language (DML) queries (i.e., `INSERT`, `UPDATE`, and `DELETE`) are always sent to the master node, while read queries are load-balanced to the standbys, providing scalability with mixed and read-intensive workloads.

EnterpriseDB supports the following Pgpool functionality:

- Load balancing
- Connection pooling
- High availability
- Connection limits

### 1.2.1 PCP Overview

Pgpool provides an interface called PCP for administrators that performs management operations such as retrieving the status of Pgpool or terminating Pgpool processes remotely. PCP commands are UNIX commands that manipulate Pgpool via the network.

### 1.2.2 Pgpool Watchdog

`watchdog` is an optional sub process of Pgpool that provides a high availability feature. Features added by `watchdog` include:

- Health checking of the pgpool service
- Mutual monitoring of other watchdog processes
- Changing active/standby state if certain faults are detected
- Automatic virtual IP address assigning synchronous to server switching
- Automatic registration of a server as a standby during recovery

More information about the Pgpool `watchdog` component can be found at:

<http://www.pgpool.net/docs/latest/en/html/tutorial-watchdog.html>

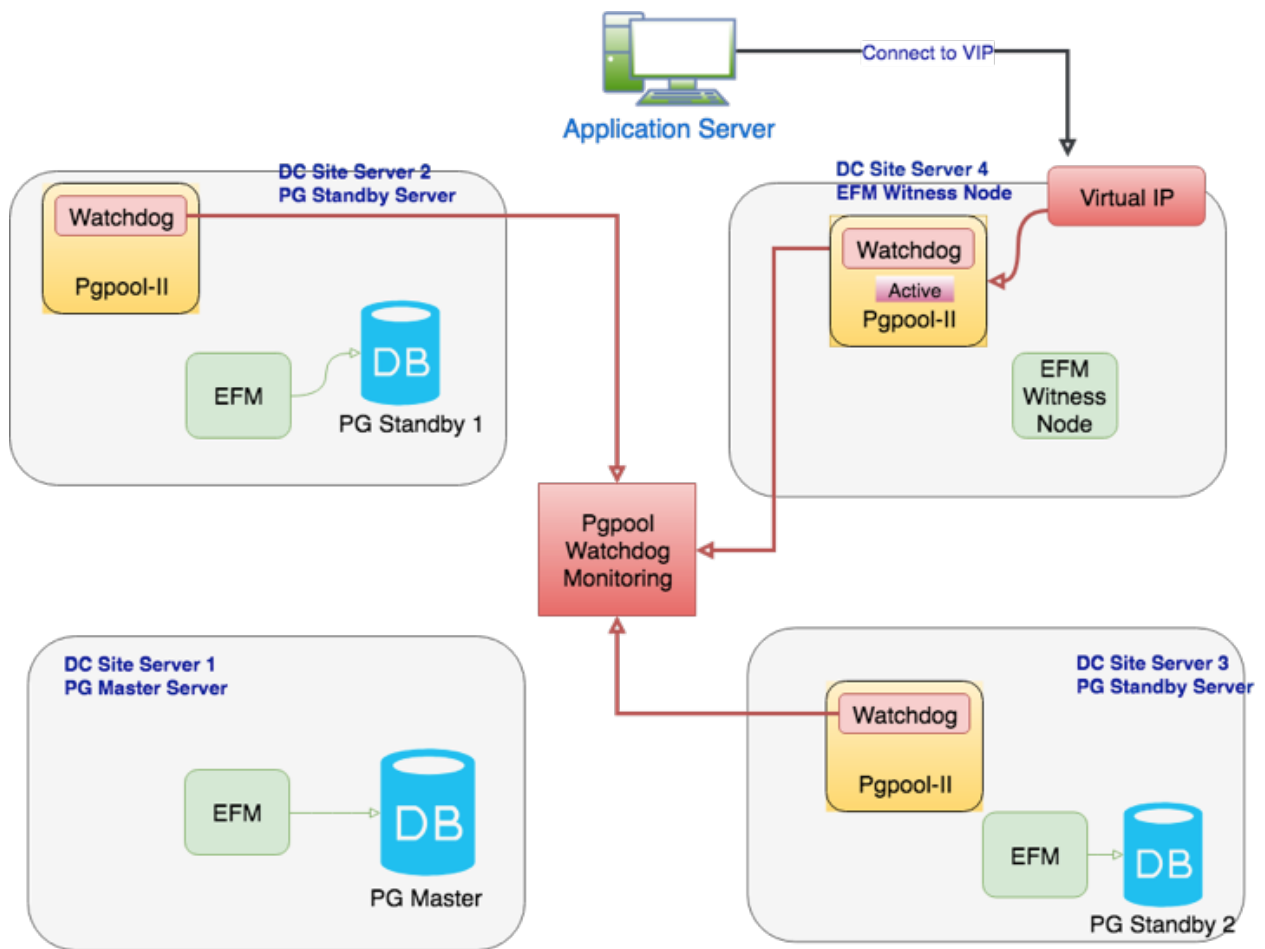


Fig. 1: A typical EFM and PgPool configuration

The sample architecture diagram shows four nodes as described in the table below:

<b>Scenario</b>	<b>Components</b>
Server 1	Master node, running Advanced Server and Failover Manager with Postgres Streaming Replication. Applications/clients will connect via the Pgpool port on Server 4 (or via a Virtual IP, if used) to perform write operations (i.e., INSERT, UPDATE, DELETE).
Server 2 & Server 3	Standby nodes running Failover Manager (Pgpool-II optional). This is a Streaming Replication standby node. Applications/clients will connect to this database via the Pgpool port on Server 4 (or via a Virtual IP, if used) to perform read operations (i.e., SELECT). An optional standby Pgpool instance can be set up here with watchdog running, if desired.
Server 4	Optional witness node running Pgpool-II and Failover Manager. This server is set up with no active database, but with Failover Manager and Pgpool. All applications/clients will connect to other databases via this server, either via this server's IP address, or via a Virtual IP that points to this server. Note that a witness node is not required if at least three other EFM nodes exist. The witness node in this sample architecture is provided for demonstration purposes.

This architecture:

- Achieves maximum availability by providing two standbys in case of master node failure.
- Achieves maximum performance with mixed and read-intensive workloads by introducing increased read scalability with more than one standby for load balancing.
- Reduces load on the master node by performing load balancing and not running Pgpool on the master.
- Avoids single point of failure of Pgpool by configuring Pgpool in high-availability mode using `watchdog`.
- Runs Pgpool master/active instance on the least-burdened node (the witness node) to boost performance while sharing resources with Failover Manager (to reduce TCO).

If one or more standbys are configured with synchronous replication, users can achieve near-zero data loss in a failure event.

With this architecture, you can expect the following behavior:

Scenario	Impact on HA	Impact on Read Scalability
<p><b>Switchover/Switchback:</b> This is a planned downtime taken for some OS/DB level activities and when promoting any of the available standby as master during downtime.</p>	<p><b>No impact on HA</b> (except couple of seconds of disturbance during role change). Number of nodes in the EFM/PgPool cluster is intact. Switchover will be done by EFM (via an EFM command). One of the available standbys will be promoted as the new master during the downtime. The old master will be reconfigured as a new standby by EFM w/o manual intervention to maintain the total number of nodes in HA setup.</p>	<p><b>No impact on read scalability</b> (except couple of seconds of disturbance during role change). After switchover the total number of standbys in the cluster will remain same so no impact on load balancing/read -scalability. Once the switchover is done by EFM, it will call post promotion script to update the PgPool with changes; accordingly PgPool will change the role of all the cluster nodes.</p>
<p><b>Failover:</b> This is unplanned downtime which can occur, making the master database inaccessible to an Application (Primary DB down).</p>	<p><b>No Impact on HA</b>, although such an incident (Failover) leaves only one standby in the EFM/PgPool cluster. To maintain the maximum availability (1 master, 2 standbys) at all times, the old/downed master must be rebuilt as a new standby (either through pg_basebackup or pg_rewind) and attached to the EFM cluster (or a new machine should be introduced as a standby). Requires manual intervention by DBA. Failover will be performed automatically by EFM.</p>	<p><b>Read scalability will be impacted.</b> Only one standby will be available for read-scalability/load balancing after the failover, until the old/downed master is rebuilt as a new standby and attached to the EFM cluster. Once are total number of nodes (three nodes in this case) are restored, the EFM attach script will attach the node with Pgpool cluster. After completion, both standbys are available for load balancing.</p>



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### Implementing High Availability with PgPool

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Failover Manager monitors the health of Postgres nodes; in the event of a master node failure, Failover Manager performs an automatic failover to a standby node. Note that Pgpool does not monitor the health of backend nodes and will not perform failover to any standby nodes.

Beginning with version 3.2, a Failover Manager agent can be configured to use Pgpool's PCP interface to detach the failed node from Pgpool load balancing after performing failover of the standby node. More details about the necessary configuration file changes and relevant scripts will be discussed in the sections that follow.

## 3.1 Configuring Failover Manager

Failover Manager provides functionality that will remove failed database nodes from Pgpool load balancing; Failover Manager can also re-attach nodes to Pgpool when returned to the Failover Manager cluster. To configure this behavior, you must identify the load balancer *attach* and *detach* scripts in the `efm.properties` file in the following parameters:

- `script.load.balancer.attach=/path/to/load_balancer_attach.sh %h`
- `script.load.balancer.detach=/path/to/load_balancer_detach.sh %h`

The script referenced by `load.balancer.detach` is called when Failover Manager decides that a database node has failed. The script detaches the node from Pgpool by issuing a PCP interface call. You can verify a successful execution of the `load.balancer.detach` script by calling `SHOW NODES` in a `psql` session attached to the Pgpool port. The call to `SHOW NODES` should return that the node is marked as down; Pgpool will not send any queries to a downed node.

The script referenced by `load.balancer.attach` is called when a `resume` command is issued to the `efm` command-line interface to add a downed node back to the Failover Manager cluster. Once the node rejoins the cluster, the script referenced by `load.balancer.attach` is invoked, issuing a PCP interface call, which adds the node back to the Pgpool cluster. You can verify a successful execution of the `load.balancer.attach` script by calling `SHOW NODES` in a `psql` session attached to the Pgpool port; the command should return that the node is marked as up. At this point, Pgpool will resume using this node as a load balancing candidate. Sample scripts for each of these parameters are provided in Appendix B.

## 3.2 Configuring Pgpool

You must provide values for the following configuration parameters in the `pgpool.conf` file on the Pgpool host:

```
follow_master_command = '/path/to/follow_master.sh %d %P'
load_balance_mode = on
master_slave_mode = on
master_slave_sub_mode = 'stream'
fail_over_on_backend_error = off
health_check_period = 0
failover_if_affected_tuples_mismatch = off
failover_command = ''
failback_command = ''
search_primary_node_timeout = 3
backend_hostname0='master'
backend_port0=5433
backend_flag0='ALLOW_TO_FAILOVER'
backend_hostname1='standby1'
backend_port1=5433
backend_flag1='ALLOW_TO_FAILOVER'
backend_hostname2='standby2'
backend_port2=5433
backend_flag2='ALLOW_TO_FAILOVER'
sr_check_period = 10
sr_check_user = 'enterprisedb'
sr_check_password = 'edb'
sr_check_database = 'edb'
health_check_user = 'enterprisedb'
health_check_password = 'edb'
health_check_database = 'edb'
```

When the primary/master node is changed in Pgpool (either by failover or by manual promotion) in a non-Failover Manager setup, Pgpool detaches all standby nodes from itself, and executes the `follow_master_command` for each standby node, making them follow the new master node. Since Failover Manager reconfigures the standby nodes *before* executing the post-promotion script (where a standby is promoted to primary in Pgpool to match the Failover Manager configuration), the `follow_master_command` merely needs to reattach standby nodes to Pgpool.

Note that the load-balancing is turned on to ensure read scalability by distributing read traffic across the standby nodes

Note also that the health checking and error-triggered backend failover have been turned off, as Failover Manager will be responsible for performing health checks and triggering failover. It is not advisable for Pgpool to perform health checking in this case, so as not to create a conflict with Failover Manager, or prematurely perform failover.

Finally, `search_primary_node_timeout` has been set to a low value to ensure prompt recovery of Pgpool services upon an Failover Manager-triggered failover.

### 3.3 pgpool\_backend.sh

In order for the attach and detach scripts to be successfully called, a `pgpool_backend.sh` script must be provided. `pgpool_backend.sh` is a helper script for issuing the actual PCP interface commands on Pgpool. Nodes in Failover Manager are identified by IP addresses, while PCP commands refer to a node ID. `pgpool_backend.sh` provides a layer of abstraction to perform the IP address to node ID mapping transparently.

### 4.1 Virtual IP Addresses

Both Pgpool-II and Failover Manager provide functionality to employ a virtual IP for seamless failover. While both provide this capability, it must be noted that Failover Manager associates a virtual IP to the master database node while Pgpool associates a virtual IP to the currently-active Pgpool host (in a multi-Pgpool watchdog setup).

Note that if an active instance of Pgpool (Server 4 in our sample architecture) goes down, any available standby Pgpool instance (according to watchdog priority) will take charge as the active Pgpool instance.

### 4.2 Pgpool Watchdog

Watchdog provides high availability for Pgpool nodes. This section lists the configuration parameters required to configure watchdog on each Pgpool node.

#### Common Watchdog Configuration Parameters for All Pgpool Nodes

```
use_watchdog = on # enable watchdog
wd_port = 9000 # watchdog port, can be changed
delegate_IP = 'Virtual IP address'
wd_lifecycle_method = 'heartbeat'
wd_interval = 10 # we can lower this value for quick detection
wd_life_point = 3
# virtual IP control
if_cmdconfig_path = '/sbin' # ifconfig command path
if_up_cmd = 'ifconfig eth0:0 inet $_IP_$ netmask 255.255.255.0'
# startup delegate IP command
if_down_cmd = 'ifconfig eth0:0 down' #shutdown DIP
```

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```
arping_path = '/usr/sbin' # arping command path
arping_cmd = 'arping -U $_IP_$ -w 1' # arping command
```

### **Custom Watchdog Configuration Parameters for Each Pgpool Node**

The following configuration parameters must be set on each Pgpool node. The interval and retry values can be adjusted depending upon the requirements and testing results.

```
other_pgpool_hostname0 = '<server# IP/hostname>'
other_pgpool_port0 = 9999
other_wd_port0 = 9000
other_pgpool_hostname1 = '<server# IP/hostname>'
other_pgpool_port1 = 9999
other_wd_port1 = 9000
wd_priority = <any integer>
```

Note that `wd_priority` can be used to elevate the local watchdog node priority in the elections to select master watchdog node. The node with the higher `wd_priority` value will get selected as master watchdog node when cluster will be electing its new master node at the time of cluster startup or in the event of old master watchdog node failure.

### **5.1 Appendix A: Supported Failover Scenarios**

A summary of supported failover scenarios is provided below. Please note that the list is not comprehensive; you should consult the Failover Manager documentation for detailed information about how Failover Manager handles each failover/failure scenario.

Scenario	Failover Manager/pgPool Response
Master Database is Down	In most cases, Failover Manager will perform a failover, promoting one of the available standbys into a master node. Virtual IP addresses (if configured) will be re-assigned.
Master agent crashes or master node fails	To prevent premature failover/promotion, Failover Manager will first check to see if the master database is still in service (i.e., only the EFM agent on the master server is down, not the entire machine). If necessary, EFM will subsequently perform a failover by promoting one of the available standbys into a master node. Virtual IP addresses (if configured) will be re-assigned.
Standby agent exits or standby node fails	Failover Manager will notify the administrator and invoke the <code>load_balancer_detach.sh</code> script (when properly configured in <code>efm.properties</code> ). For more information, see <a href="#">load_balancer_detach.sh</a> in Appendix B.
Dedicated witness agent exits or node fails	EFM: Administrator is notified Pgpool: Pgpool will perform a failover and an existing standby PgPool instance will be promoted as the active instance. Virtual IP (if configured) will be re-assigned to new active instance.
Standby gets added back to cluster	When a standby node comes back up, it gets added back to the Failover Manager cluster by use of the <code>efm resume &lt;clustername&gt;</code> command. The <code>load_balancer_attach.sh</code> script is subsequently called (when properly configured), and updates the Pgpool cluster via the PCP interface. For more information, see <a href="#">load_balancer_attach.sh</a> in Appendix B.



## 5.2 Appendix B: Integration Scripts

### 5.2.1 load\_balancer\_detach.sh

```
#!/bin/bash
#%h host name
output_file=/tmp/scripts/pp_log
pool_backend=/tmp/scripts/pgpool_backend.sh
node_address=$1
current_date_time="`date +"%Y-%m-%d %H:%M:%S"`";

echo $current_date_time >>$output_file
echo "node address to detach = $node_address". >>$output_file
$pool_backend detach $node_address >>$output_file

echo "-----".>>$output_file
exit 0
```

### 5.2.2 load\_balancer\_attach.sh

```
#!/bin/bash
#%h host name
output_file=/tmp/scripts/pp_log
pool_backend=/tmp/scripts/pgpool_backend.sh
node_address=$1
current_date_time="`date +%Y-%m-%d %H:%M:%S`";

echo $current_date_time >>$output_file
echo "node address to attach = $node_address". >>$output_file
$pool_backend attach $1 >>$output_file
echo "-----".>>$output_file
exit 0
```

### 5.2.3 follow\_master.sh

```
#!/bin/sh

PCP_USER=USER_PLACEHOLDER          # PCP user name
PCP_PORT=PORT_PLACEHOLDER          # PCP port number as in pgpool.conf
PCP_HOST=HOST_PLACEHOLDER          # hostname of Pgpool-II
PGPOOL_PATH=/usr/edb/pgpool4.0/bin/ # Pgpool-II installed path
export PCPPASSFILE=/tmp/pcppass     # Path to PCPPASS file

# Execute command by failover.
# special values: %d = node id
#                 %h = host name
#                 %p = port number
#                 %D = database cluster path
#                 %m = new master node id
#                 %M = old master node id
#                 %H = new master node host name
#                 %P = old primary node id
#                 %R = new master database cluster path
#                 %r = new master port number
#                 %% = '%' character
failed_node_id=$1
old_master_id=$2

echo failed_node_id $1
echo old_master_id $2

if [ $failed_node_id -ne $old_master_id ]; then
    sleep 10
    $PGPOOL_PATH/pcp_attach_node -w -U $PCP_USER -h $PCP_HOST -p $PCP_PORT
    ↪$failed_node_id
fi
```

## 5.2.4 pgpool\_backend.sh

```

#!/bin/bash
#
# pgpool-II backend node configuration driver.
#
# usage: promote_standby.sh hostname [port]
#
# set the following variables according to your setup

PCP_USER=USER_PLACEHOLDER           # PCP user name
PCP_PORT=PORT_PLACEHOLDER           # PCP port number as in pgpool.conf
PCP_HOST=HOST_PLACEHOLDER           # hostname of Pgpool-II
PGPOOL_PATH=/usr/edb/pgpool4.0/bin/  # Pgpool-II installed path
export PCPPASSFILE=/var/pcppass      # Path to PCPPASS file

# function returns the Pgpool-II backend node-id for the given hostname
# and port number, And if the node-id is not found 255 is returned
# Arguments:
# 1- Hostname
# 2- Port (optional) if not provided, node-id of first matching
#      hostname will be returned
#
function get_pgpool_nodeid_from_host {
    if [ -z "$1" ]; then
        echo "hostname not provided"
        return 255
    fi

    #Now get the total number of nodes configured in Pgpool-II
    node_count=`$PGPOOL_PATH/pcp_node_count -U $PCP_USER -h $PCP_HOST -p $PCP_
↪PORT -w`
    echo searching node-id for $1:$2 from $node_count configured backends
    i=0
    while [ $i -lt $node_count ];
    do
        nodeinfo=`$PGPOOL_PATH/pcp_node_info -U $PCP_USER -h $PCP_HOST -p
↪$PCP_PORT -w $i`
        hostname=`echo $nodeinfo | awk -v N=1 '{print $N}'`
        port=`echo $nodeinfo | awk -v N=2 '{print $N}'`
        #if port number is <= 0 we just need to compare hostname
        if [ "$hostname" == $1 ] && ( [ -z "$2" ] || [ $port -eq $2 ] ); then
            echo "$1:$2 has backend node-id = $i in Pgpool-II"
            return $i
        fi
        let i=i+1
    done
    return 255
}

# function returns 1 if Pgpool-II backend node for the given hostname
# and port number is the primary node in Pgpool-II

```

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```

# returns 0 for the standby node and 255 if no node exist for the hostname
# Arguments:
# 1- Hostname
# 2- Port (optional) if not provided, node-id of first matching
#      hostname will be returned
#
function is_host_is_primary_pgpool_node {
    if [ -z "$1" ]; then
        echo "hostname not provided"
        return 255
    fi

    #Now get the total number of nodes configured in Pgpool-II
    node_count=`$PGPOOL_PATH/pcp_node_count -U $PCP_USER -h $PCP_HOST -p $PCP_
↪PORT -w`
    echo searching node-id for $1:$2 from $node_count configured backends
    i=0
    while [ $i -lt $node_count ];
    do
        nodeinfo=`$PGPOOL_PATH/pcp_node_info -U $PCP_USER -h $PCP_HOST -p
↪$PCP_PORT -w $i`
        hostname=`echo $nodeinfo | awk -v N=1 '{print $N}'`
        port=`echo $nodeinfo | awk -v N=2 '{print $N}'`
        role=`echo $nodeinfo | awk -v N=6 '{print $N}'`
        #if port number is <= 0 we just need to compare hostname
        if [ "$hostname" == $1 ] && ( [ -z "$2" ] || [ $port -eq $2 ] ); then
            echo "$1:$2 has backend node-id = $i in Pgpool-II"
            # check if the node role is primary
            if [ "$role" == "primary" ]; then
                return 1
            else
                return 0
            fi
        fi
        let i=i+1
    done
    return 255
}

# Function promotes the node-id to the new master node
# Arguments:
# 1- node-id: Pgpool-II backend node-id of node to be promoted to master
function promote_node_id_to_master {
    if [ -z "$1" ]; then
        echo "node-id not provided"
        return 255
    fi
    $PGPOOL_PATH/pcp_promote_node -w -U $PCP_USER -h $PCP_HOST -p $PCP_PORT $1
    return $?
}

# Function attach the node-id to the Pgpool-II

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```

# Arguments
# 1- node-id: Pgpool-II backend node-id to be attached
function attach_node_id {
    if [ -z "$1" ]; then
        echo "node-id not provided"
        return 255
    fi
    $PGPOOL_PATH/pcp_attach_node -w -U $PCP_USER -h $PCP_HOST -p $PCP_PORT $1
    return $?
}

# Function detach the node-id from the Pgpool-II
# Arguments
# 1- node-id: Pgpool-II backend node-id to be detached
function detach_node_id {
    if [ -z "$1" ]; then
        echo "node-id not provided"
        return 255
    fi
    $PGPOOL_PATH/pcp_detach_node -w -U $PCP_USER -h $PCP_HOST -p $PCP_PORT $1
    return $?
}

# function promotes the standby node identified by hostname:port
# to the master node in Pgpool-II
# Arguments:
# 1- Hostname
# 2- Port (optional) if not provided, node-id of first matching
#           hostname will be promoted
#
function promote_standby_to_master {
    get_pgpool_nodeid_from_host $1 $2
    node_id=$?
    if [ $node_id -eq 255 ]; then
        echo unable to find Pgpool-II backend node id for $1:$2
        return 255
    else
        echo promoting node-id: $node_id to master
        promote_node_id_to_master $node_id
        return $?
    fi
}

# function attaches the backend node identified by hostname:port
# to Pgpool-II
# Arguments:
# 1- Hostname
# 2- Port (optional) if not provided, node-id of first matching
#           hostname will be promoted
#
function attach_node {
    get_pgpool_nodeid_from_host $1 $2

```

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```

node_id=$?
if [ $node_id -eq 255 ]; then
    echo unable to find Pgpool-II backend node id for $1:$2
    return 255
else
    echo attaching node-id: $node_id to Pgpool-II
    attach_node_id $node_id
    return $?
fi
}
# function detaches the backend node identified by hostname:port
# from Pgpool-II
# Arguments:
# 1- Hostname
# 2- Port (optional) if not provided, node-id of first matching
#      hostname will be promoted
#
function detach_node {
    get_pgpool_nodeid_from_host $1 $2
    node_id=$?
    if [ $node_id -eq 255 ]; then
        echo unable to find Pgpool-II backend node id for $1:$2
        return 255
    else
        echo detaching node-id: $node_id from Pgpool-II
        detach_node_id $node_id
        return $?
    fi
}

function print_usage {
    echo "usage:"
    echo "    $(basename $0) operation hostname [port]".
    echo "    operations:".
    echo "        check_primary: check if node has a primary role".
    echo "        promot: promote node".
    echo "        attach: attach node".
    echo "        detach: detach node".
}

# script entry point
if [ -z "$1" ] || [ -z "$2" ]; then
    echo "ERROR: operation not provided"
    print_usage
    exit 1
fi
shopt -s nocasematch
case "$1" in
    "check_primary" )
        is_host_is_primary_pgpool_node $2 $3
        ;;
    "promote" ) echo "promote"

```

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```
promote_standby_to_master $2 $3
    ;;
"attach" ) echo "attach"
    attach_node $2 $3;;
"detach" ) echo "detach"
    detach_node $2 $3;;
"watchdog" ) echo "detach"
    $PGPOOL_PATH/pcp_watchdog_info -w -U $PCP_USER -h $PCP_HOST -p $PCP_
↔PORT -v;;
*) echo "invalid operation $1".
    print_usage;;
esac
exit $?
```



### **EDB Postgres High Availability and Horizontal Read Scaling Architecture Guide**

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- EDB designs, establishes coding best practices, reviews, and verifies input validation for the logon UI for EDB products where present. EDB follows the same approach for additional input components, however the nature of the product may require that it accepts freeform SQL, WMI or other strings to be entered and submitted by trusted users for which limited validation is possible. In such cases it is not possible to prevent users from entering incorrect or otherwise dangerous inputs.
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- EDB does not warrant that we can or will anticipate all potential threats and therefore our process cannot fully guarantee that all potential vulnerabilities have been addressed or considered.

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